

Professional Fiduciaries Bureau

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West Sacramento, CA 95798-9005

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Website: www.fiduciary.ca.gov



**Professional Fiduciaries Bureau (Bureau)
Advisory Committee Meeting**

**Wednesday November 18, 2015
10:00a.m. – 1:00p.m. or conclusion**

Meeting Location:

**Department of Consumer Affairs (DCA) – HQ2
1747 N. Market Boulevard, 1st Floor Hearing Room
Sacramento, CA 95834**

Teleconference Location:

**6345 Balboa Blvd., #114
Encino, CA 91316**

Committee Members

Barbara de Vries, Chair
Aileen Federizo, Vice Chair
Jenny Chacon
King Gee
Hang Le To
Marguerite Lorenz
Kathleen Thomson

Webcast*

- 1) Call to Order – Barbara de Vries, Chair
- 2) Roll Call & Establishment of Quorum – Julia Ansel, Bureau Chief
- 3) Introductions
- 4) Professional Fiduciaries Bureau Mission Statement – Julia Ansel, Bureau Chief
- 5) Approval of the Advisory Committee Meeting Minutes from August 19, 2015
- 6) Updates from the Director's Office – DCA Executive Staff
- 7) Budget Report – DCA Budget Analyst
 - Budget Overview and Update
 - Detailed Report on Program Consumer Services Division (PCSD) Prorata

8) Legislative Update – DCA Legislative Analyst

- Legislation Impacting the Bureau
 - AB 436
 - SB 589
 - SB 155
 - SB 269

- Legislation Impacting All DCA Licensing Programs
 - SB 467
 - AB 12
 - AB 750
 - AB 1060

9) Bureau Update – Julia Ansel, Bureau Chief

- Statistics
- E-Newsletter
- New Brochure – What is a Professional Fiduciary?
- PFB Survey Update
- Regulations – Client Notification Discussion

10) Continuing Education Audit – Angela Bigelow, Program Analyst

11) Course Approvals – Angela Bigelow, Program Analyst

12) Enforcement – Bureau Enforcement Analyst

- Review of Enforcement Process
- Types of Complaints

13) Future Agenda Items

14) Proposed Future Meeting Dates – Angela Bigelow, Program Analyst

- Wednesday, February 3, 2016
- Wednesday, May 18, 2016
- Wednesday, August 24, 2016
- Wednesday, November 16, 2016

15) Election of 2016 Chair and Vice Chair – Barbara de Vries, Chair

16) Public Comment on Items Not on the Agenda (See “**Note**” below)

17) Adjournment

Please note: In accordance with the Bagley-Keene Open Meeting Act, all meetings of the Board are open to the public. Government Code Section 11125.7 provides the opportunity for the public to address each agenda item during discussion or consideration. The public can participate in the discussion of any item on this agenda. If the committee does not offer public comment before going on to the next agenda item, the public may request the floor at the start of the next agenda item to comment on a prior agenda item.

Note: The Professional Fiduciaries Bureau Advisory Committee may take action on any of the above agenda items; however the committee may not discuss or take action on any matter raised that is not included in this agenda. The Committee may, however, decide to place the matter on the agenda of a future meeting.

Notice: The meeting is accessible to persons with disabilities. A person who needs accommodations or modifications in order to participate in the meeting should make a request no later than five working days before the meeting to the Bureau by contacting Angela Bigelow at (916) 574-7341, angela.bigelow@dca.ca.gov or by sending a written request to the Professional Fiduciaries Bureau, 1625 North Market Blvd., Ste. S-209, Sacramento, California 95834.

Requests for further information should be directed to Ms. Bigelow at the same address and telephone number.

*The Bureau plans to webcast this meeting on its website at www.fiduciary.ca.gov. Webcast availability cannot, however, be guaranteed due to limitations on resources. If you wish to participate or to have a guaranteed opportunity to observe, please plan to attend at a physical location.

OUR VISION

To protect, maintain, and enhance the quality of life for consumers by promoting the highest Professional Fiduciary standards.

OUR MISSION

To protect consumers through licensing, education, and enforcement by ensuring the competency and ethical standards of Professional Fiduciaries.

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**Professional Fiduciaries Bureau (Bureau)
Advisory Committee Meeting
Wednesday, August 19, 2015**

Meeting Minutes

Committee Members Present

Barbara de Vries, Chair
Aileen Federizo, Vice Chair
Hang Le To
Kathleen Thomson
Marguerite Lorenz

Committee Members Absent

Jenny Chacon

DCA Staff Present

Julia Ansel, Bureau Chief
Angela Bigelow, Program Analyst
Gary Duke, DCA Legal Counsel

- 1) Call to Order – Ms. Barbara de Vries called the meeting to order at 10:10 a.m.
- 2) Roll Call – Ms. Julia Ansel, Bureau Chief called roll. There were five members present, one member absent, and one vacant position. A quorum was established with five members present.
- 3) Introductions – Those in attendance introduced themselves.
- 4) Professional Fiduciaries Bureau Mission Statement – Ms. Ansel read the Bureau's mission statement and stated there would be the opportunity for public comment after each agenda item.
- 5) Approval of the Advisory Committee Meeting Minutes from May 20, 2015 – Ms. de Vries motioned to approve the minutes as written, Ms. Hang Le To seconded the motion, with a vote by roll call all were in favor, none were opposed, and the motion carried.
- Public Comment: None.
- 6) Updates from the Director's Office – Department of Consumer Affairs (DCA) Deputy Director of Board and Bureau Relations Christine Lally announced new hires at DCA. Ricardo DeLaCruz was hired as Personnel Officer in August and Sherri Gastinell as Assistant Personnel Officer in July. Our training office SOLID has a new Manager, Dana Nelson effective in September. An Enforcement meeting will be held quarterly with the Executive office and Bureau Chiefs to allow better communication and sharing of best practices. A prorata study was done in July as required by SB 1243. This one-time study was required to determine how expenses are distributed and the study was done by CPS HR Consultants. The

1 DCA will be using this survey as a starting point to improve operations. The results of this survey
2 are available on the DCA website. Ms. Lorenz asked how we could bring awareness of the
3 Bureau to other DCA professions and Ms. Lally replied the DCA newsletter. Ms. Ansel also stated
4 the Bureau is developing a new publication, which can be distributed to other boards and
5 bureaus.

6 Public Comment: None.

7
8 7) Budget Report – Ms. Cynthia Dines, Manager of the Budget Office, presented the Fiscal Month
9 13, which is the final actual budget for the Fiscal Year 14/15. The final reversion is 1.9% a
10 savings of \$11,695, which was tight, and the budget is being watched very closely. A comfortable
11 reversion is 3-5%.

12 A two-year Attorney General augmentation Budget Change Proposal has been submitted to the
13 Department of Finance.

14 The overall fund condition is good and the Bureau brought in revenue of \$22,000 more than
15 projected.

16 Ms. Federizo asked what the line item PCSD Prorata is and Ms. Dines explained this is the
17 Program Consumer Services Division which includes; Complaint Resolution Program, Public
18 Affairs, Consumer Information Center, Correspondence, and Outreach.

19 Ms. Lorenz requested an expanded budget presentation at the next meeting.

20
21 Ms. Dines returned after agenda item number 12 on the agenda to present the Fund Condition for
22 the Actual budget for Fiscal Year 14/15 and the projected budget for the Fiscal Years 15/16,
23 16/17, and 17/18.

24 Public Comment: Mr. Counts commented the Bureau should address the budget now before the
25 Bureau runs out of money.

26
27 8) Legislative Update – Ms. Natalie Martin-Rojas presented the following legislative updates.

- 28 • Legislation Impacting the Bureau –
 - 29 > **SB 589 (Block) Voting: voter registration: individuals with disabilities**
 - 30 **and conservatees** - This bill prohibits disqualifying a conservatee from
 - 31 voting if he or she would need to complete an affidavit for voter registration
 - 32 with reasonable accommodations. This bill also provides that a person is
 - 33 presumed competent to vote regardless of conservatorship status and
 - 34 clarifies the judicial procedures through which an individual with a disability
 - 35 or under a conservatorship would lose his or her ability to vote. In order to
 - 36 deem a person mentally incompetent and disqualified from voting, this bill
 - 37 would require a court to make a finding of clear and convincing evidence
 - 38 that the person cannot communicate, with or without reasonable
 - 39 accommodations, a desire to participate in the voting process. *This bill is*
 - 40 *going to the Assembly for its third reading on 8/20/2015.*
- 41 • Legislation Impacting All DCA Licensing Programs -
 - 42 > **AB 12 (Cooley) State government: administrative**
 - 43 **regulations: review** - This bill would require every state
 - 44 agency, department, board, bureau or other entity to review and
 - 45 revise regulations to eliminate inconsistent, overlapping,
 - 46 duplicative, and outdated provisions and adopt the revisions as
 - 47 emergency regulations by January 1, 2018. Additionally, this bill
 - 48 would require the Business, Consumer Services, and Housing
 - 49

1 Agency to submit a report to the Governor and Legislature
2 affirming compliance with these provisions. These provisions
3 would be repealed by January 1, 2019. *This bill is going to the*
4 *Assembly Appropriations Committee to be heard on 8/24/2015.*

- 5 ➤ **SB 467 (Hill) Professions and vocations** - This bill would
6 require the Legislature to approve pro rata distributions at the
7 Department. This bill would also require the Attorney General to
8 implement performance measures regarding case referrals. In
9 addition, this bill would direct the Division of Investigation
10 (Division) to work cooperatively with healing arts boards
11 regarding standard case referral to the Division. Finally, this bill
12 would extend the sunset for the Board of Accountancy. *This bill*
13 *is in the Assembly Appropriations Committee suspense file.*

- 14 • Inactive and Enrolled Legislation –

- 15 ➤ **AB 436 (Jones) Guardian or conservator: powers and duties**
16 – This bill clarifies that attorneys appointed by the court to
17 represent conservatees in dementia powers cases are to be
18 discharged by the court or ordered to continue representation
19 upon the granting or denial of those powers. *This is a 2-year bill*
20 *and was chaptered 8/13/2015.*
- 21 ➤ **AB 750 (Low) Business and professions: licenses** – This bill
22 would allow all programs within the Department to issue a
23 retired license. *This is a 2-year bill.*
- 24 ➤ **AB 1060 (Bonilla) Cancer clinical trials** – This bill would
25 create the Cancer Clinical Trials Foundation in the Health and
26 Human Services Agency, to be governed by a board of trustees.
27 Members of the board would be appointed as specified. The bill
28 would also create the Cancer Clinical Trials Fund, and would
29 continuously appropriate this fund to the board, thereby making
30 an appropriation. The bill would authorize the board to solicit
31 and receive money, as specified. *This bill was amended to*
32 *address a topic of program unrelated to the Department.*
- 33 ➤ **SB 155 (Hertzberg) Decedent's estates** – This bill authorizes
34 the trustee of a decedent's previously established trust, to
35 petition the superior court for an order to transfer real and
36 personal property, of any amount or value, from the decedent's
37 "pour-over will" to the trust. *This is a 2-year bill.*
- 38 ➤ **SB 269 (Vidak) Conservator appointments: compensation** –
39 This bill allows interested parties who are successful in their
40 petition for appointment of another, more appropriate person as
41 conservator, to recover compensation, reimbursement of
42 attorney's fees and other costs incurred in connection with that
43 petition. *This is a 2-year bill.*

44
45 Public Comment: None.
46

1 9) Continuing Education Audit – Ms. Bigelow announced the Bureau is in process with the review of
2 its second annual continuing education audit. Audit letters were sent to approximately 5% of the
3 total licensing population on 6/19/2015 or 45 active licensees. Once the Bureau has completed
4 the audit review, the findings will be listed in the bi-annual e-newsletter.

5 Public Comment: None.

6
7 10) Bureau Update –

- 8 • Statistics – Ms. Ansel presented the statistics for this Fiscal Year (FY) through
9 June 30, 2015 and a comparison to the two prior FYs, as follows:

Type	FY 14/15 through June 30, 2015	FY 13/14	FY 12/13
Licenses Issued	72	72	78
Active Licenses	669	645	614
Total Licenses Issued	851	779	707
Complaints Received	148	103	99
Complaints Closed	97	95	122
Complaints Pending	85	35	27
Average Days to Close Complaint	121	100	184
Accusations	4	2	3
Citations	7	5	10

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13 Ms. Lorenz asked if there is a reason for the increased complaints received and what is the
14 nature of the complaints. Ms. Ansel believes the increase may be due to outreach and more
15 consumers becoming aware of the Bureau and there is a wide range of types of complaints
16 but mostly education. Ms. Thomson asked for a definition of Accusation and Mr. Gary Duke
17 responded this is a formal charging document filed by the Attorney General office.

18
19 Public Comment: Mr. Counts commented the total active licenses might be showing a trend of net
20 decrease in active licensees.

- 21 • E-Newsletter – The Bureau distributed the e-newsletter to the interested parties list on
22 June 4th. The Bureau is now accepting articles for the fall 2015 edition and any ideas on
23 how to improve the newsletter.
- 24 • PFB Survey Update – Within the next couple of weeks, the Bureau will be sending out a
25 25 question anonymous survey to obtain general feedback in regards to practice, trends,
26 and challenges via the interested parties list with a note that this survey is for licensees
27 only.
- 28 • Teleconference Update – The Bureau has reserved this room for the November meeting in
29 order to allow committee members to access the meeting via conference call in lieu of
30 attending in person. Please notify Ms. Bigelow by October 1, 2015 if you would like to
31 attend via conference call. The location you are calling from must be at a location that is
32 ADA compliant and open to the public. The location address will be posted on the
33 agenda.

34 Public Comment: None.

35
36 11) Continuing Education Course Approval Update – Ms. Bigelow presented two new forms, which
37 will be posted on the Bureau's website to be completed and submitted to the Bureau by education
38 providers who wish to have their courses approved by the Bureau for pre-licensing and continuing

1 education. Until now, providers of education have had to obtain approval from the providers listed
2 on the Bureau's website and in section 4446 of the California Code of Regulations. The names of
3 these providers were set in regulations when the Bureau first started. Now a provider will be able
4 to request authorization to provide a course directly from the Bureau and the course will be listed
5 on the Bureau's website once the course is approved.

6 Public Comment: None.

7
8 12) Future Agenda Items

9 -PCSD Prorata extended budget report.

10 -CE Audit update.

11 -Survey update.

12 -Report on approved courses

13 -New "What is a Professional Fiduciary" publication update.

14 Public Comment: None.

15
16 13) Future Meeting Dates

17 -November 18, 2015 – Teleconference available (please request by Oct 1, 2015).

18
19 14) Public Comment on Items Not on the Agenda – None.

20
21 15) Adjournment – The meeting was adjourned at 11:46 a.m.

DEPARTMENT OF CONSUMER AFFAIRS
3108 - PROFESSIONAL FIDUCIARIES BUREAU

BUDGET REPORT
FY 2015-16 EXPENDITURE REPORT (projections pending)
Current as of 9/30/2015

OBJECT DESCRIPTION	FY 2014-15	FY 2015-16			
	ACTUAL EXPENDITURES (MONTH 13)	BUDGET ALLOTMENT	CURRENT YEAR EXPENDITURES as of 9/30/2015	PERCENT SPENT	UNENCUMBERED BALANCE
PERSONNEL SERVICES					
Salary & Wages	102,193	112,388	30,504	27.1%	81,884
Statutory Exempt	58,355	93,212	21,363	22.9%	71,849
Temp Help (Seasonal)	11,196	22,000	4,194	19.1%	17,806
Committee Members	2,300	0	0	0.0%	0
Staff Benefits	94,781	82,717	27,691	33%	55,026
TOTALS, PERSONNEL SVCS	268,824	310,317	83,752	27.0%	226,565
OPERATING EXPENSE AND EQUIPMENT					
General Expense	3,623	3,439	307	8.9%	3,132
Fingerprint Reports	142	0	0	0.0%	0
Minor Equipment	970	3,950	900	0	3,050
Printing	2,684	1,000	11	1.1%	989
Communication	419	1,463	38	2.6%	1,425
Postage	2,012	2,014	305	15.1%	1,709
Travel In State	8,250	3,846	912	23.7%	2,934
Training	128	1,711	0	0.0%	1,711
Facilities Operations	32,947	7,010	30,228	431.2%	(23,218)
C & P Services - External	2,151	2,935	0	0.0%	2,935
OIS Billing	20,371	21,435	5,500	25.7%	15,935
Indirect Dist	20,926	31,487	7,750	24.6%	23,737
DOI Prorata	884	586	250	42.7%	336
Public Affairs Prorata	28	1,570	250	15.9%	1,320
PCSD Prorata	163,730	136,918	34,250	25.0%	102,668
Consolidated Data Center	953	4,130	0	0.0%	4,130
DP Maintenance & Supplies	0	1,162	453	0.0%	709
Central Admin Services - Pro Ra	35,297	38,027	9,594	25.2%	28,433
Exam Contract	328	0	0	0.0%	0
Attorney General	36,168	50,000	8,630	17.3%	41,370
Office of Admin. Hearings	1,688	0	0	0.0%	0
TOTALS, OE&E	333,699	312,683	99,378	31.8%	213,305
TOTAL EXPENSES	602,523	623,000	183,130	29.4%	439,870
Surplus/(Deficit):					70.6%

NOTES/ASSUMPTIONS

1. Current Year expenditures include year-to-date + encumbrances
2. Attorney General & Office of Administrative Hearings year-to-date are based on current reports, not Calstars

3108 - Professional Fiduciaries Bureau Analysis of Fund Condition

(Dollars in Thousands)

2015 Budget Act	Budget Act			
	ACTUAL 2014-15	CY 2015-16	BY 2016-17	BY +1 2017-18
BEGINNING BALANCE	\$ 399	\$ 315	\$ 241	\$ 189
Prior Year Adjustment	\$ -3	\$ -	\$ -	\$ -
Adjusted Beginning Balance	\$ 396	\$ 315	\$ 241	\$ 189
REVENUES AND TRANSFERS				
Revenues:				
125600 Other regulatory fees	\$ 3	\$ 5	\$ 8	\$ 8
125700 Other regulatory licenses and permits	\$ 114	\$ 119	\$ 128	\$ 128
125800 Renewal fees	\$ 400	\$ 420	\$ 455	\$ 455
125900 Delinquent fees	\$ 3	\$ 4	\$ 5	\$ 5
150300 Income from surplus money investments	\$ 1	\$ 1	\$ 1	\$ 1
161900 Cost recoveries - other	\$ 1	\$ 1	\$ 1	\$ 1
Totals, Revenues	\$ 522	\$ 550	\$ 598	\$ 598
Totals, Revenues and Transfers	\$ 522	\$ 550	\$ 598	\$ 598
Totals, Resources	\$ 918	\$ 865	\$ 839	\$ 787
EXPENDITURES				
Disbursements:				
1111 Program Expenditures (State Operations)	\$ 603	\$ 623	\$ 585	\$ 597
8880 Financial Information System for California (State Operations)	\$ -	\$ 1	\$ -	\$ -
Total Disbursements	\$ 603	\$ 624	\$ 650	\$ 662
FUND BALANCE				
Reserve for economic uncertainties	\$ 315	\$ 241	\$ 189	\$ 125
Months in Reserve	6.1	4.4	3.4	2.2

NOTES:

- A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ONGOING
- B. EXPENDITURE GROWTH PROJECTED AT 2%
- C. ASSUMES 0.3% GROWTH IN INCOME FROM SURPLUS MONEY

Professional Fiduciaries Bureau
PSCD Detail
November 2015

PCSD is the acronym for the Program & Consumer Services Division. The Division is now called the Communications Division & the Complaint Resolution Program is now a separate unit. Table 1 reflects the Bureau's historical PCSD pro rata budget & actual costs, which is followed by information for each unit in PCSD. While 100% of each unit's cost is allocated to each board & bureau, savings realized by these units is allocated back to each board & bureau at the end of the fiscal year.

Table 1

PCSD Pro Rata History in 1,000s	12-13	13-14	14-15	15-16
Consumer Information Center	\$1	\$29	\$18	\$10
Correspondence Unit	\$9	\$0	\$37	\$15
Outreach	\$0	\$2	\$1	\$0
Publications, Design & Editing	\$1	\$1	\$0	\$1
Complaint Resolution	\$99	\$111	\$114	\$111
Public Affairs	\$1	\$1	\$0	\$1
Allocated Cost	\$111	\$144	\$170	\$138
Actual Cost	\$108	\$122	\$164	TBD

Note: Fiscal Year (FY) 16-17 Costs will be available after the release of the Governor's Proposed Budget on January 10, 2016. However, it is anticipated that the Bureau will have no share of costs for the Complaint Resolution Program since their services were not used in FY 14-15.

CONSUMER INFORMATION CENTER (CIC) – The Call Center's phone agents provide assistance to consumers & licensees in English & Spanish, & in more than 177 languages with the assistance of Language Line Solutions. Agents mail DCA publications to consumers, refer callers to the appropriate government or private agency, & assist with filing complaints. CIC's phone agents are often the first point of contact for licensees, registrants, & the public for the boards, bureaus, & programs that are part of DCA. Budget year costs are allocated based on the prior fiscal year's actual workload.

CORRESPONDENCE UNIT – The Correspondence Unit responds to correspondence, e-mail messages, & complaints sent to the Governor's Office, State legislators, DCA's Director & boards & bureaus. The Correspondence Unit is also DCA's point of contact for Public Records Act requests. Budget year costs are allocated based on the prior fiscal year's actual workload.

OUTREACH – The DCA Outreach Program is charged with bringing the Department of Consumer Affairs face to face with consumers by coordinating events & presentations to community & consumer groups, disseminating consumer information, & by developing partnerships with all programs to convey their messages to the public. Distributed costs based on authorized position count.

PUBLICATIONS, DESIGN & EDITING – The Office of Publications, Design & Editing (PDE) consists of graphic designers, writers, editors, & support staff who design, edit, write, and, distribute more than 200 consumer-related publications offered by DCA's various entities. PDE also coordinates the translations of these publications into many different languages. Distributed costs based on authorized position count.

COMPLAINT RESOLUTION (CRP) –

The Complaint Resolution Program is responsible for processing consumer complaints that are filed against California businesses that are regulated by the following bureaus: Cemetery & Funeral, Electronic & Appliance Repair, Home Furnishings & Thermal Insulation, Security & Investigative Services, Private Postsecondary Education, & Professional Fiduciaries. This Program works to promote a mutually acceptable resolution between disputing parties. Budget year costs are allocated based on the prior fiscal year's actual workload. Only Bureaus incur resolution costs.

PUBLIC AFFAIRS –

OPA is responsible for responding to media inquiries; creating & executing marketing plans for the Department's various initiatives; & developing consumer education & media campaigns. Distributed costs based on authorized position count.

Professional Fiduciaries Bureau – Legislative Review

Legislation Related to the Bureau: Signed bills

AB 436 (Jones) Guardian or conservator: powers and duties

This bill clarifies that attorneys appointed by the court to represent conservatees in dementia powers cases are to be discharged by the court or ordered to continue representation upon the granting or denial of those powers.

SB 589 (Block) Voting: voter registration: individuals with disabilities and conservatees

This bill prohibits disqualifying a conservatee from voting if he or she would need to complete an affidavit for voter registration with reasonable accommodations. This bill also provides that a person is presumed competent to vote regardless of conservatorship status and clarifies the judicial procedures through which an individual with a disability or under a conservatorship would lose his or her ability to vote. In order to deem a person mentally incompetent and disqualified from voting, this bill would require a court to make a finding of clear and convincing evidence that the person cannot communicate, with or without reasonable accommodations, a desire to participate in the vote process.

Legislation Related to the Bureau: Vetoed or 2-year Bills

SB 155 (Hertzberg) Decedent's estates

This bill authorizes the trustee of a decedent's previously established trust, to petition the superior court for an order to transfer real and personal property, of any amount or value, from the decedent's "pour-over will" to the trust. *This bill was amended to address a topic unrelated to the Department and is a 2-year bill.*

SB 269 (Vidak) Conservator appointments: compensation

This bill allows interested parties who are successful in their petition for appointment of another, more appropriate person as conservator, to recover compensation, reimbursement of attorneys' fees and other costs incurred in connection with that petition. *This is a 2-year bill.*

Legislation Affecting all Departmental Programs: Signed

SB 467 (Hill) Professions and vocations

This bill would have required the Legislature to approve pro rata distributions at the Department. This bill would also require the Attorney General to implement performance measures regarding case referrals. In addition, this bill would direct the Division of Investigation (Division) to work cooperatively with healing arts boards regarding standard case referral to the Division. Finally, this bill would extend the sunset for the Board of Accountancy. *This bill was signed.*

Legislation Affecting all Departmental Programs: Vetoed or 2-Year Bills

AB 12 (Cooley) State government: administrative regulations: review

This bill would have required every state agency, department, board, bureau or other entity to review and revise regulations to eliminate inconsistent, overlapping, duplicative, and outdated provisions and adopt the revisions as emergency regulations by January 1, 2018. Additionally, this bill would have required the Business, Consumer Services, and Housing Agency to submit a report to the Governor and Legislature affirming compliance with these provisions. These provisions would be repealed by January 1, 2019. *This bill was vetoed by Governor Brown.*

AB 750 (Low) Business and professions: licenses

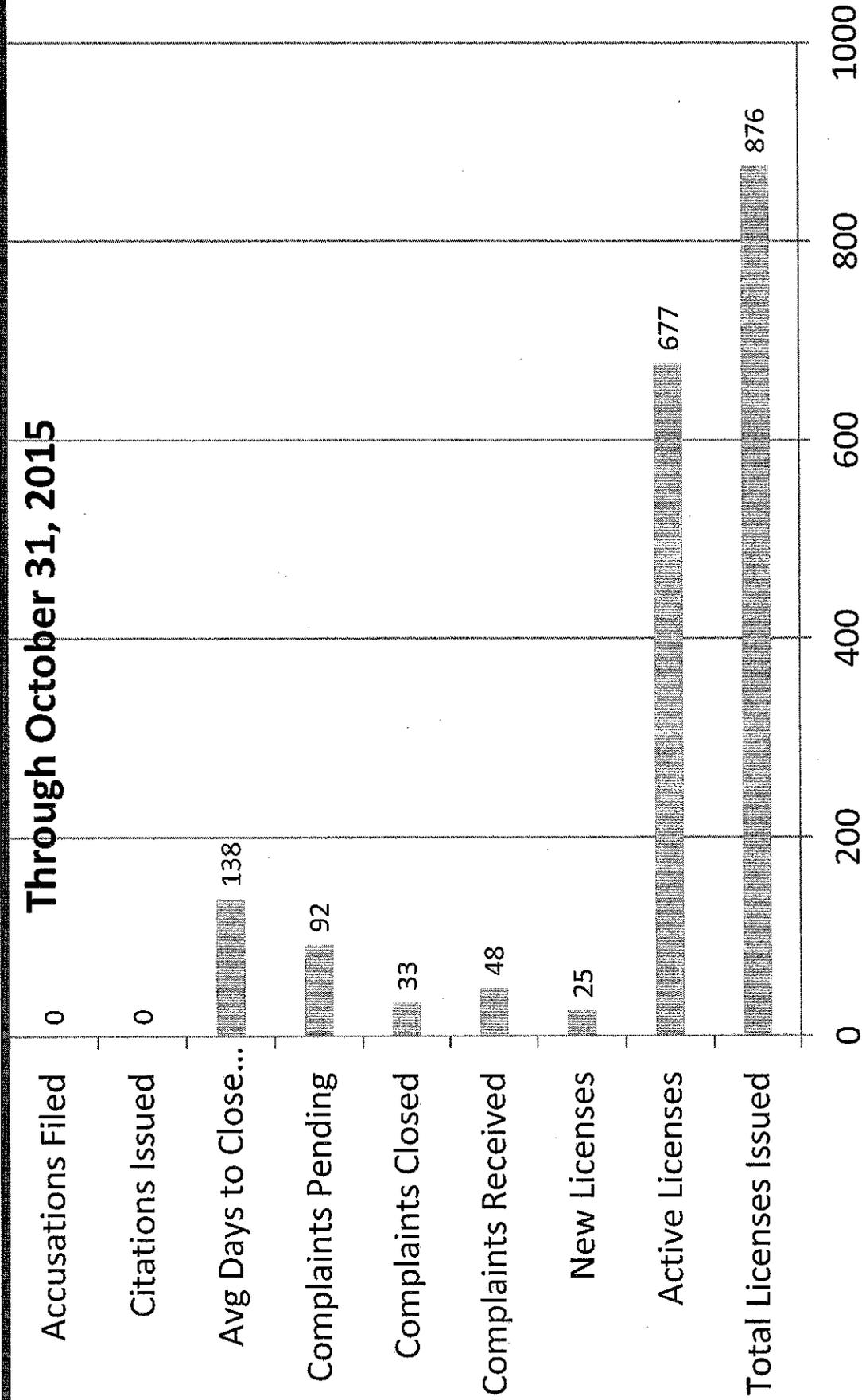
This bill would allow all programs within the Department to issue a retired license. *This is a 2-year bill.*

AB 1060 (Bonilla) Cancer clinical trials

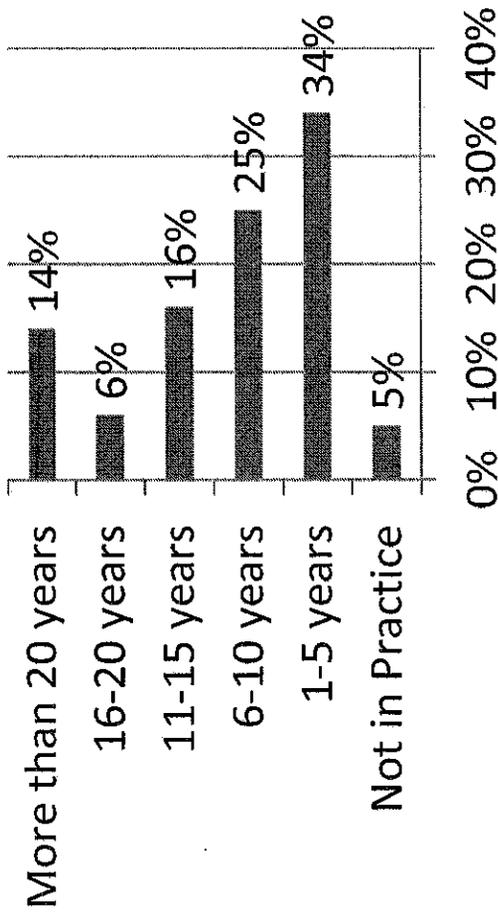
This bill would create the Cancer Clinical Trials Foundation in the Health and Human Services Agency, to be governed by a board of trustees. Members of the board would be appointed as specified. The bill would also create the Cancer Clinical Trials Fund, and would continuously appropriate this fund to the board, thereby making an appropriation. The bill would authorize the board to solicit and receive money, as specified. *This bill was amended to address a topic or program unrelated to the Department.*



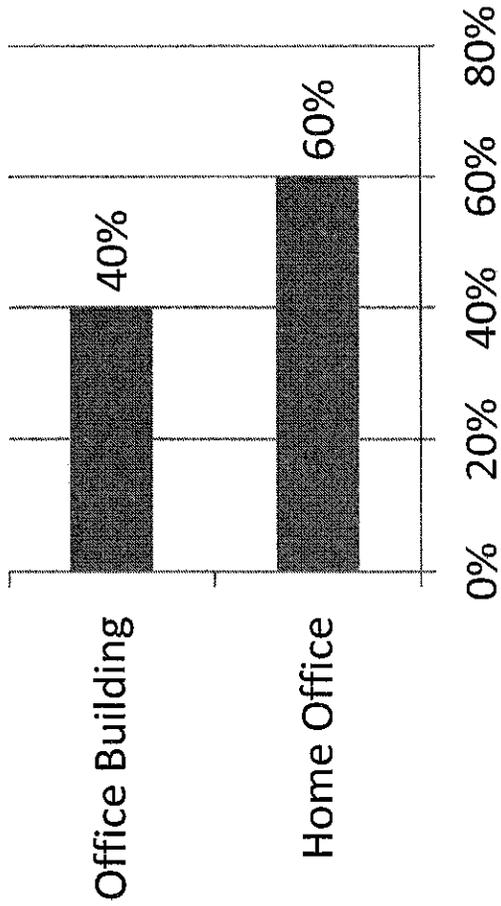
Fiscal 2015/16 Year to Date Statistics



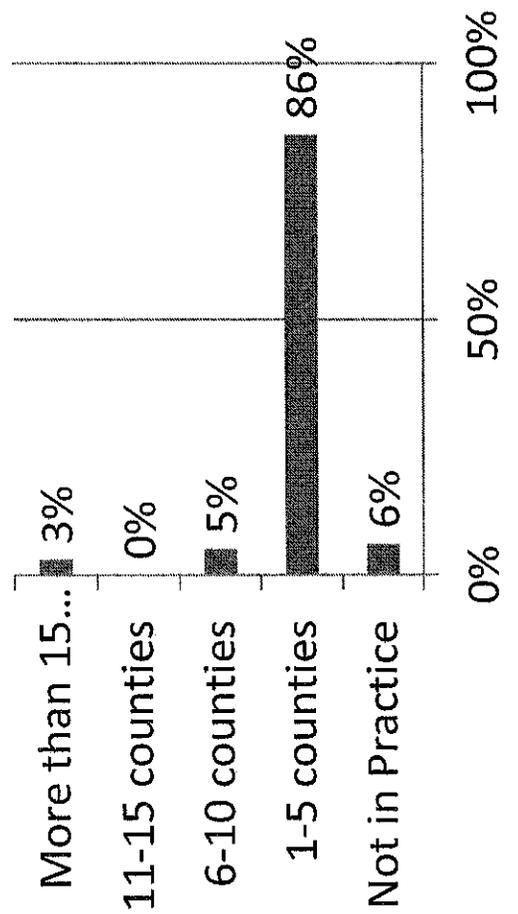
How long have you been in practice?



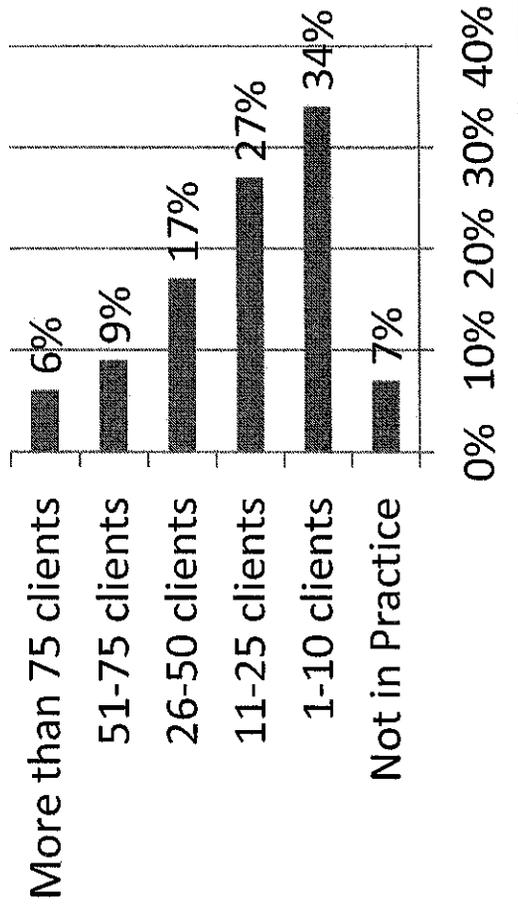
Where is your place of business?



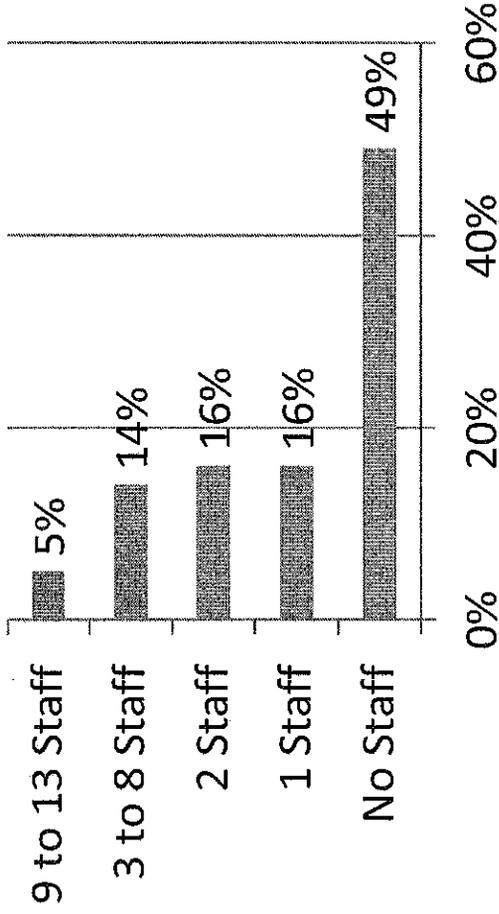
In how many counties do you practice?



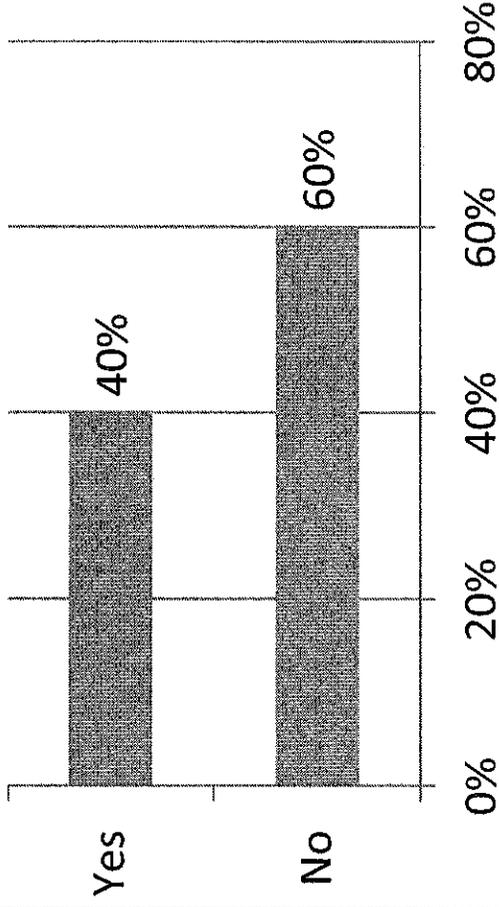
How many clients do you manage?



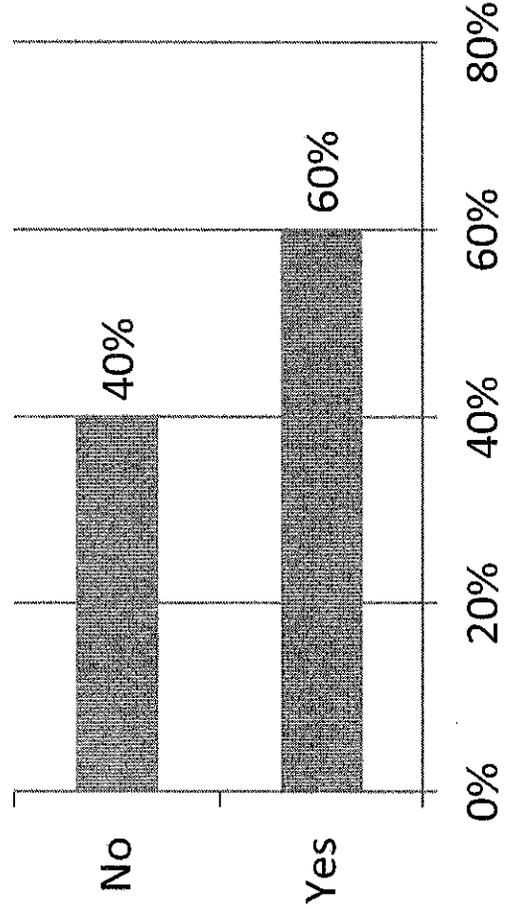
How many staff do you employ?



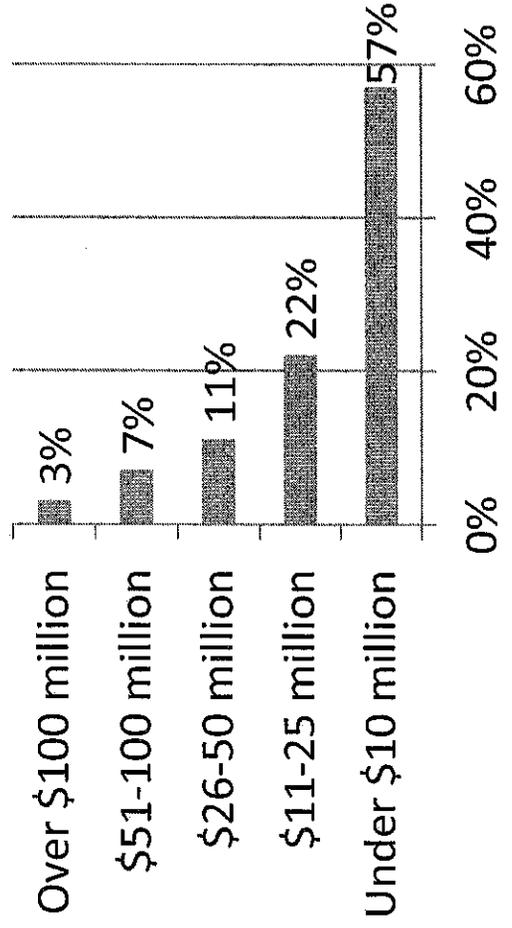
Do you employ case managers?



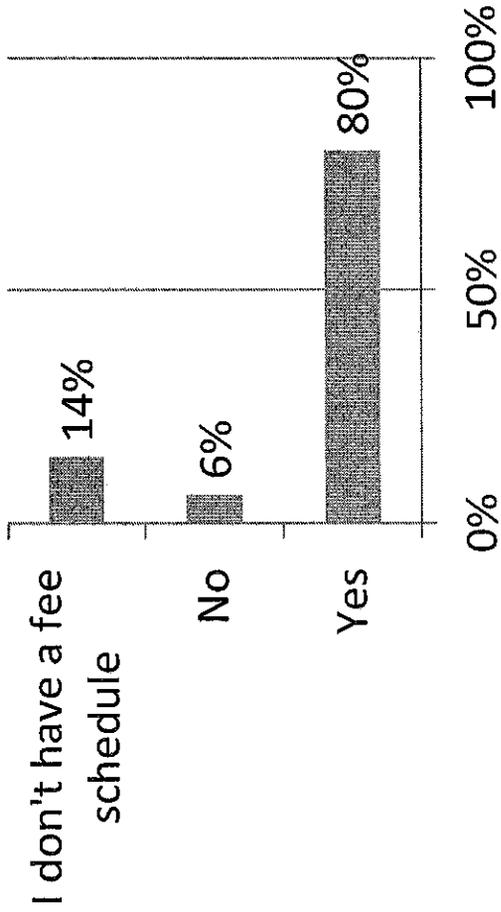
Do you carry error and omissions insurance?



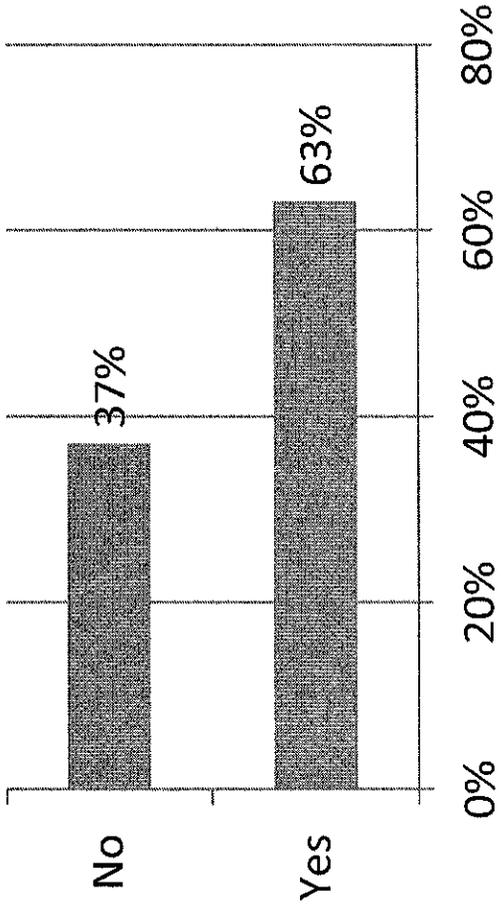
Total amount of assets under management?



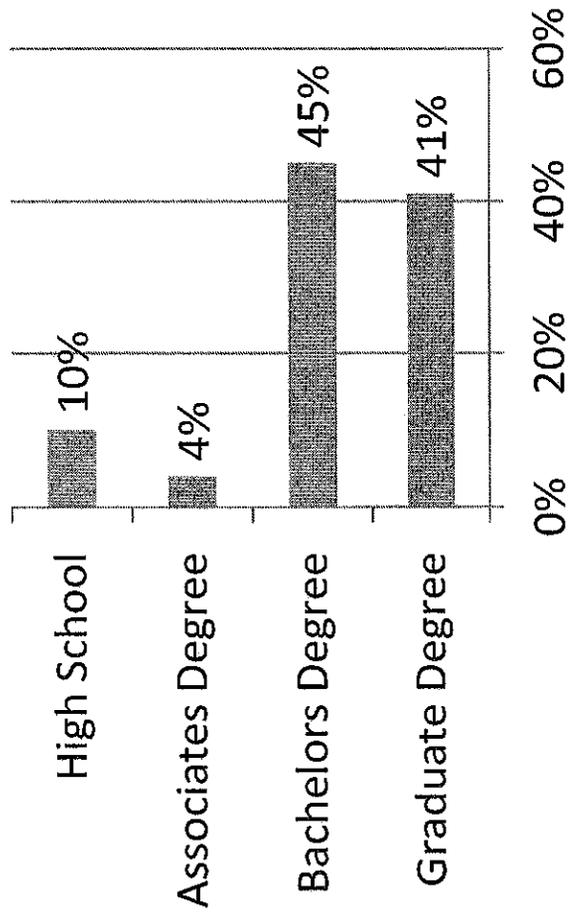
Do you provide a fee schedule to your clients?



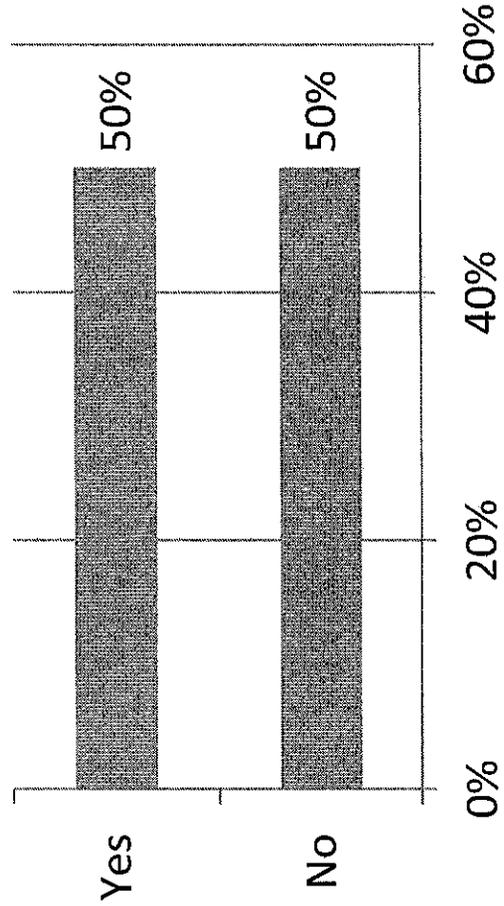
Do you have a succession plan in place?



Highest level of education?



Do you mentor other professionals in the industry?



Trustee

- Settlor
- If settlor(s) is deceased, beneficiaries

Conservator

- Conservatee
- Attorney – at inception of conservatorship if one exists
- Next of kin

Guardian

- Attorney – at inception of guardianship if one exists
- Next of kin

Power of Attorney

- Client – individual licensee is serving as POA/ person who named licensee as POA