

**PROFESSIONAL FIDUCIARIES BUREAU**  
**(Division 41, Title 16, California Code of Regulations)**

**Proposed Regulations**

Adopt Article 12, § 4640 of the California Code of Regulations as follows:

Article 12. Rules of Professional Conduct.

Section 4640. Client Notification.

Every licensed Professional Fiduciary shall at all times provide notice reasonably calculated to be received by the licensee's current and prospective clients, of the fact that the licensee is licensed by the Professional Fiduciaries Bureau. For purposes of this section, "licensee" means a person who is a licensed Professional Fiduciary under the Professional Fiduciaries Act. Notice shall be provided to all current and prospective clients by all of the following methods:

(a) At the licensee's place of business, in a location which is accessible to the public, notification shall be prominently posted in a conspicuous location visible to clients, and shall include the following statement and information in at least 48 -- point type in Arial font:

NOTIFICATION TO CONSUMERS

Professional Fiduciaries are licensed and regulated

by the Professional Fiduciaries Bureau

Professional Fiduciaries Bureau Telephone: (916) 574-7340

Professional Fiduciaries Bureau Website: [www.fiduciary.ca.gov](http://www.fiduciary.ca.gov)

(b) Licensees shall provide a written notice to all clients stating the licensee is a licensed Professional Fiduciary and regulated by the Bureau. The written notice shall contain the Bureau's telephone number and website address. The notice shall be signed and dated by the client and retained in the client's records. If the client is a minor or deemed mentally or physically incapacitated, or has a court representative, or is represented by an attorney of law, a copy of the notice shall be mailed to the client's legal representative, court appointed representative, and/ or attorney's address of record.

(c) If the licensee maintains a Website, a written notice as described in subsection (a) "NOTIFICATION TO CONSUMERS," shall be posted on the licensee's Website.

NOTE: Authority cited: Sections 138 and 6517 of the Business and Professions Code. Reference: Sections 6515, 6516, 6520, 6530, 6532 and 6533 of the Business and Professions Code.

DATED: \_\_\_\_\_

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Julia G. Ansel, Chief  
Professional Fiduciaries Bureau